

SBA Lending Solutions

Environmental Questionnaire

Applicant Name:
Operating Company Name(s):
SBA Loan Number (If assigned):
SBA Loan Name(If assigned):
PART I - COLLATERAL PROPERTY
Address:
Current Owner:
Current Operator of Site (if different from Current Owner):
1. Past and Present Uses of Collateral Property:
a. Past use(s):
(1) Did the past use(s) of the property involve an environmentally sensitive industry? (If yes, then list NAICS code(s) from SOP 50-10(5) Appendix 4.)
b. Present Use:

Does the present use of the property involve an environmentally sensitive industry? (If yes, then list NAICS code(s) from SOP 50-10(5) Appendix 4.)

2. <u>Hazardous Substances at Collateral Property:</u>

- a. If the property is being used for the storage, generation, treatment, emission or disposal of hazardous substances, then list what hazardous substances (Otherwise, mark N/A.):
 - (1) If yes, are all permits current for the storage, generation, treatment, emission or disposal of hazardous substances at the property? (If permits are not current, explain.)
- b. List any other hazardous substances identified, either in the past or present, as being at, on, in, into, under, above, from, or about the property (If none, mark N/A.):

3. Evidence of Contamination at Collateral Property: Is there any evidence of contamination at the property? (If yes, explain.)

4. <u>Potential Sources of Contamination at Collateral Property:</u> Are there potential sources of contamination* at the property? (If yes, explain.)

- 5. <u>Past Evidence of Contamination at Collateral Property:</u> Does the borrower, seller or CDC know of any past evidence of contamination or sources of contamination at the property? (If yes, explain.)
- 6. <u>Lawsuits or Administrative Proceedings for Release of Hazardous Substances at Collateral Property</u>: Does the borrower, seller or CDC know of any past, threatened or pending lawsuits or administrative proceedings concerning a release or threatened release of hazardous substances at the property? (If yes, explain.)

7. <u>Regulatory Actions by Governmental Entity Involving Collateral Property:</u> Are there, or have there been, any regulatory actions by any governmental entity for environmental conditions at the property? (If yes, explain.)

8. <u>Previous Environmental Risk Studies of Collateral Property:</u> Are there any previously performed environmental risk studies pertaining to the property? (If yes, attach copies.)

^{*} Sources of contamination may include, but are not limited to the following: (1) damaged or discarded automotive or industrial batteries; (2) pesticides, paints or other chemicals stored in individual containers greater than 5 gallons in volume or 50 gallons in aggregate; (3) chemicals in industrial drums or sacks; (4) pits, ponds or lagoons used for waste disposal or storage tanks;
(5) fill dirt from a contaminated or unknown source; (6) underground or aboveground storage tanks; (7) vent pipers, fill pipes or access ways indicating a source; (8) flooring drains or walls within a facility that are stained by substances other than water and/or are emitting noxious odors; (9) clarifiers, pits or sumps; (10) dry wells.

Owner's remarks:

Owner's signature

Operator of Site's remarks:

Operator of Site's signature

Lender's remarks:

I affirm that I have made at least one site visit to the property.

Lender's signature

Date

Date

Date

PART II - ADJOINING PROPERTIES

The following must be completed for <u>each adjoining property</u> the boarder of which is shared in part or in whole with the Collateral Property, or that would be shared in part or in whole with the Collateral Property but for a street, road, or other public thoroughfare separating the properties. <u>If needed, make additional copies of pages 4 and 5 for each adjoining property.</u>

Address: Location in relation to Collateral Property: Current Owner: Current Operator of Site (if different from Current Owner):

1. <u>Past and Present Uses of Adjoining Property:</u>

- a. Past use(s):
 - (1) Did the past use(s) of the property involve an environmentally sensitive industry? (If yes, then list NAICS code(s) from SOP 50-10(5) Appendix 4.)

b. Present Use:

(1) Does the present use of the property involve an environmentally sensitive industry? (If yes, then list NAICS code(s) from SOP 50-10(5) Appendix 4.)

2. <u>Hazardous Substances at Adjoining Property:</u>

- a. If the property is being used for the storage, generation, treatment, emission or disposal of hazardous substances, then list what hazardous substances (Otherwise, mark N/A.):
 - (1) If yes, are all permits current for the storage, generation, treatment, emission or disposal of hazardous substances at the property? (If permits are not current, explain.)
- b. List any other hazardous substances identified, either in the past or present, as being at, on, in, into, under, above, from, or about the property (If none, mark N/A.):

3. Evidence of Contamination at Adjoining Property: Is there any evidence of contamination at the property? (If yes, explain.)

4. <u>Potential Sources of Contamination at Adjoining Property:</u> Are there potential sources of contamination* at the property? (If yes, explain.)

- 5. <u>Past Evidence of Contamination at Adjoining Property:</u> Does the borrower, seller or CDC know of any past evidence of contamination or sources of contamination at the property? (If yes, explain.)
- 6. <u>Lawsuits or Administrative Proceedings for Release of Hazardous Substances at Adjoining Property</u>: Does the borrower, seller or CDC know of any past, threatened or pending lawsuits or administrative proceedings concerning a release or threatened release of hazardous substances at the property? (If yes, explain.)

7. <u>Regulatory Actions by Governmental Entity Involving Adjoining Property:</u> Are there, or have there been, any regulatory actions by any governmental entity for environmental conditions at the property? (If yes, explain.)

^{*} Sources of contamination may include, but are not limited to the following: (1) damaged or discarded automotive or industrial batteries; (2) pesticides, paints or other chemicals stored in individual containers greater than 5 gallons in volume or 50 gallons in aggregate; (3) chemicals in industrial drums or sacks; (4) pits, ponds or lagoons used for waste disposal or storage tanks;
(5) fill dirt from a contaminated or unknown source; (6) underground or aboveground storage tanks; (7) vent pipers, fill pipes or access ways indicating a source; (8) flooring drains or walls within a facility that are stained by substances other than water and/or are emitting noxious odors; (9) clarifiers, pits or sumps; (10) dry wells.